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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/623,009

07/18/2003

Michael Novak

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EXAMINER

PADMANABHAN, KAVITA

ART UNIT

PAPER NUMBER

2161

NOTIFICATION DATE

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ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

uspatents@senniger.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/623,009	<b>Applicant(s)</b> NOVAK ET AL.	
	<b>Examiner</b> Kavita Padmanabhan	<b>Art Unit</b> 2161	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 05 May 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-8 and 10-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 and 10-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                                   | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)               | Paper No(s)/Mail Date. _____  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>2/27/08</u> .   | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Status of Claims***

1. Claims 1-8 and 10-17 are pending.
2. Claims 1 and 10 have been amended.
3. Claims 1-8 and 10-17 are rejected.

### ***Continued Examination Under 37 CFR 1.114***

4. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 5/5/08 has been entered.

### ***Specification***

5. The disclosure is objected to because of the following informalities:  
  
It is suggested that the word "can" be removed after the word "metadata" at par [0004], line 4.  
  
Appropriate correction is required

### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2161

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

8. **Claims 1-4, 10-13, and 16** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Dutta et al.** (US 2002/0138471, hereinafter “**Dutta**”) in view of **Woodward et al.** (2003/0036948, hereinafter “**Woodward**”), **Ijdens et al.** (US 2006/0090030, hereinafter “**Ijdens**”).

In regards to **claim 1**, **Dutta** teaches a method for retrieving a property of a media file being played via a media player:

- retrieving the media file from one of a plurality of media file sources (**Dutta; par [0052]**
  - *“The node then performs the new search using the set of initial nodes.”*);
- prioritizing the plurality of media file sources for retrieving the property of the media file based on business rules (**Dutta; par [0075]**), said business rules indicating a predefined priority based on at least compatibility and importance of the media file sources (**Dutta; par [0057], par [0061] – factors influencing source rating; par [0052], lines 12-13 –**

***“Prior to initiating a new search at a node, the node consults one or more rating databases”);***

- querying each of the prioritized plurality of media file sources according to the predefined priority to identify a source of the media file (**Dutta; par [0076]; par [0096]**).

Dutta does not expressly teach prioritizing the media file sources based on business rules according to *Digital Rights Management (DRM) of the media file*, the identified source of the media file including metadata associated therewith and displaying the property as defined by the associated metadata of the identified source of the media file as the media file is being played via the media player. Dutta also does not expressly teach the plurality of metadata sources including at least one of the following: an advanced stream redirector (ASX) source, a server-side playlist source, a media library source, a file header source, a digital rights management (DRM) source, and a basic metadata source.

**Woodward** teaches a source of a media file including metadata associated therewith (**Woodward; par [0018] – par [0020]**) and also teaches displaying a property of the media file as defined by the associated metadata as the media file is being played via the media player (**Woodward; par [0017], lines 18-30**). **Woodward** also teaches metadata sources including a basic metadata source and a media library source (**Woodward; par [0017], lines 21-30; par [0019]; par [0020]; par [0023], lines 1-6**).

**Ijdens** teaches DRM data as a type of metadata that can be used in conjunction with certain business rules (**Ijdens; par [0017]**).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the method of Dutta using the features taught by Woodward, wherein

Art Unit: 2161

each peer constitutes a media file source including associated metadata, in order to be able to display descriptive information about a media file while the file is being played (**Woodward; par [0017], lines 21-27**). It would also have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the method described by Dutta with the various sources of metadata taught by Woodward and Ijdens in order to allow a user to display a requested media file and customize the media output based on the metadata retrieved from the metadata source.

In regards to **claim 2, Dutta, Woodward, and Ijdens** teach the method of claim 1 further comprising retrieving the property defined by the metadata of the identified source of the media file when the identified source defines the property, and retrieving the property defined by the source having the highest priority below the identified source of the media file when the identified source does not define the property (**Dutta; par [0076]; par [0096]**).

In regards to **claim 3, Dutta, Woodward, and Ijdens** teach the method of claim 2,

- wherein querying includes querying each of the prioritized plurality of media file sources according to their priority to identify a property for the media file defined by the metadata of the source of the media file (**Dutta; par [0076]; par [0096]**), and
- wherein retrieving includes retrieving the property as defined by the metadata of a first source in the prioritized plurality of media file sources identified as including metadata defining the property (**Dutta; par [0076]; par [0096]**).

Art Unit: 2161

In regards to **claim 4, Dutta, Woodward, and Ijdens** teach the method of claim 3, wherein each media file source corresponds to a metadata source (**Woodward; par [0018] – par [0020]**), and wherein querying includes querying each of the metadata sources to identify the property for the media file (**Dutta; par [0076]; par [0096]**).

**Claims 10-13** are rejected with the same rationale given for claims 1-4, respectively.

In regards to **claim 16, Dutta, Woodward, and Ijdens** teach the computer readable storage medium of claim 10, wherein retrieving instructions determine the metadata source from which to retrieve the property as a function of the property to be displayed (**Dutta; par [0060] – par [0061]**).

9. **Claims 5-7 and 14-15** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Dutta in view of Woodward in view of Ijdens, further in view of Fowler et al.** (US 6,493,436, hereinafter “Fowler”).

In regards to **claim 5, Dutta, Woodward, and Ijdens** teach the method of claim 4, wherein the priority for querying each of the metadata sources is determined according to a predetermined importance assigned to each of the plurality of metadata sources (**Dutta; par [0075]**), **wherein** the metadata source deemed most important is queried first (**Dutta; par [0076]**). Dutta and Woodward also teach basic metadata such as artist name, album name, and

Art Unit: 2161

track name for an audio file, as well as additional metadata such as year and genre, and teach displaying whichever items are available (**Woodward; par [0017], lines 18-30**).

Dutta, Woodward, and Ijdens do not expressly teach a least important source being queried last or the source deemed least important providing a basic metadata or a default metadata.

**Fowler** teaches prioritizing sources, checking/querying the most desirable, which is equivalent to being deemed the most important, source first, then the next most important, etc. until a suitable match is found or the last source has been checked/queried (**Fowler; col. 2, lines 14-35**).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the method of Dutta, Woodward, and Ijdens by incorporating the features taught by Fowler whereby a more complete search could be performed including searching the metadata source/peer deemed least important. Furthermore, since Dutta, Woodward, and Ijdens teach metadata sources including basic metadata, the metadata source deemed least important could obviously also provide such basic metadata information.

In regards to **claim 6, Dutta, Woodward, Ijdens and Fowler** teach the method of claim 5, wherein querying includes issuing a chain of calls to each metadata source, wherein a first call is to the metadata source deemed most important, and wherein a subsequent call is to the metadata source deemed the next most important, and wherein a last call is to the metadata source deemed the least (**Dutta, par [0096]; Fowler, col. 2, lines 14-35**).



In regards to **claim 7, Dutta, Woodward, Ijdens and Fowler** teach the method of claim 6, wherein the property to be displayed determines the metadata source from which to retrieve the property (**Dutta; par [0060] – par [0061]**).

**Claims 14-15** are rejected with the same rationale given for claims 5-6, respectively.

10. **Claims 8 and 17** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Dutta in view of Woodward in view of Ijdens, further in view of Cato et al.** (US 2003/0120928, hereinafter “Cato”).

In regards to **claim 8, Dutta, Woodward, and Ijdens** teach the method of claim 1.

Dutta, Woodward, and Ijdens do not expressly teach retrieving metadata from the metadata source that returns the property in the least amount of time.

**Cato** teaches, where there are multiple sources, retrieving the data from the source with the fastest internet connection, i.e. that would return the data the fastest (**Cato; par [0115]**).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to implement the method taught by Dutta, Woodward, and Ijdens with the feature taught by Cato, whereby the metadata would be retrieved from the source that is able to return the data the fastest in order to provide the most time efficient service.

**Claim 17** is rejected with the same rationale given for claim 8.

***Response to Amendment***

11. Applicant's amendments filed 5/5/08 with respect to the 35 U.S.C. 112, 2<sup>nd</sup> paragraph rejections have been fully considered. The previous rejections have been withdrawn accordingly.

***Response to Arguments***

12. Applicant's arguments filed 5/5/08 with respect to the prior art rejections of the claims have been fully considered but they are not persuasive.

Applicant also argues at page 7 of applicant's remarks that Dutta teaches away from a predefined priority. The examiner respectfully disagrees. Applicant argues that Dutta is covering a different field and is directed to solving a different problem from the current invention, i.e. that Dutta is non-analogous art. In response, the examiner asserts that it has been held that a prior art reference must either be in the field of applicant's endeavor or, if not, then be reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See *In re Oetiker*, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992). In this case, Dutta is clearly in the field of applicant's endeavor, in that both Dutta and the present invention deal with retrieving relevant content from among multiple sources (Dutta; abstract).

Applicant argues at page 7 of applicant's remarks that Dutta teaches a selective priority based on a rating system that is a measure based on post-processing activities and fails to teach a "predefined priority". The examiner respectfully disagrees. The examiner asserts that although the rating system of Dutta is based on post-processing activities, the ratings/priorities are

Art Unit: 2161

predefined with respect to a given search/retrieval process. Dutta recites, “*“Prior to initiating a new search at a node, the node consults one or more rating databases”* (Dutta; par [0052], lines 12-13). Therefore, prior to querying, the media file sources are already prioritized, meaning that the querying of the sources is indeed based upon a predefined priority of the media file sources, thereby meeting the language of the claim as presently recited.

### ***Conclusion***

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Kavita Padmanabhan** whose telephone number is **(571)272-8352**. The examiner can normally be reached on Monday-Friday, 9:00am-5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Apu Mofiz can be reached on 571-272-4080. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kavita Padmanabhan

May 26, 2008

Application/Control Number: 10/623,009

Page 11

Art Unit: 2161

Patent Examiner

AU 2161

/Kavita Padmanabhan/